

Received on:

Acknowledged on:

Application no:

Grandfathering and Certification Application Form for ECF on Green and Sustainable Finance (ECF-GSF) (Professional Level)

Important Notes:

1. The application is applicable for the **Relevant Practitioner (RP)** engaged by an Authorized Institution (AI)* at the time of application.
2. Read carefully the “Guidelines of Grandfathering and Certification Application for ECF on Green and Sustainable Finance” (GSF-G-017) **BEFORE** completing this application form.
3. **Employment details for Current Job must be completed in the HR Verification Annex and submitted by all Applicants.**
4. Only the **completed application form** with all valid supporting documents, including the HR Verification Annexes, will be processed.

Section A: Personal Particulars ¹

(Please tick if you are a current HKIB Members and you are not required to complete information of the **shaded areas** UNLESS there are changes)

| | | |
|--|---|----------------|
| Title: <input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Dr <input type="checkbox"/> Prof | HKIB Member: <input type="checkbox"/> Yes _____ <input type="checkbox"/> No <i>(Membership No.)</i> | |
| Name in English ² : <i>(Surname) (Given Name)</i> | Name in Chinese ² : | |
| HKID/Passport Number: | Date of Birth: <i>(DD/MM/YYYY)</i> | |
| Contact Information | | |
| (Primary) Email Address ³ : (Secondary) Email Address: | Mobile Phone Number: | |
| Correspondence Address: | | |
| Employment Information | | |
| Name of Current Employer: | Office Telephone Number: | |
| Position/Functional Title: | Department: | |
| Office Address ⁴ : | | |
| Academic and Professional Qualifications | | |
| Highest Academic Qualification Obtained: | University/Tertiary Institution/College: | Year of Award: |
| Other Professional Qualifications: | Professional Bodies: | Year of Award: |

* Section 2 of Banking Ordinance (Cap. 155)

1. Put a “✓” in the appropriate box(es).
2. Information as shown on the identity document.
3. All the HKIB communication will be sent to the Primary Email Address (personal email preferred).
4. Provide if not the same as the correspondence address above.

Section B: Indication of Grandfathering and Certification Applied

Indicate the grandfathering and/or certification applied by putting a "✓" in the appropriate box(es).

- Certified Green Finance Professional (Green and Sustainable Finance Product) (CGFP(GSF-P))**
- Certified Green Finance Professional (Green and Sustainable Finance Investment) (CGFP(GSF-I))**
- Certified Green Finance Professional (Sustainability Risk Management) (CGFP(SRM))**
- Certified Green Finance Professional (Sustainability Disclosures and Reporting) (CGFP(SDR))**
- Certified Green Finance Professional (Sustainability Strategy, Compliance and Control) (CGFP(SSCC))**

Eligibility:

Grandfathering Route

- Employed by an AI at the time of application; and
- At least 5 years of total relevant work experience in any of the functions of which 2 years must be from Professional Level job roles as specified in the Annex 1 of the Guide to ECF on GSF by the HKMA;

Training and Examination Route

- Employed by an AI at the time of application; and
- Completing relevant training programmes and passing the examinations; and
- Having at least 2 years of relevant work experience in any of the Professional Level functions as specified in the Annex 1 of the Guide to ECF on GSF by the HKMA;

Section C: Relevant Employment History

List all the relevant employment history in the GSF or related function in **reverse chronological order**. Work experience does not need to be continuous. Each position listed requires completing a **separate HR Verification Annex (Professional Level)/ (Core Level)** form.

| Job Number | Employer | Position | Employment Period for the Position (DD/MM/YYYY) |
|------------|----------|----------|---|
| Current | | | From To |
| Job 2 | | | From To |
| Job 3 | | | From To |
| Job 4 | | | From To |
| Job 5 | | | From To |
| Job 6 | | | From To |

Total Relevant Work Experience: _____ Year(s) _____ Month(s)

Total number of set of **HR Verification Annex (Professional Level)/ (Core Level)**** forms submitted: _____

***HR Verification Annex (Core Level) could be extracted from GSF (Core Level) Certification Application form*

To streamline the application process, annex(es) of the position(s) stated in Section C that have been submitted by Holders of AGFP with previous application for Core Level are not required to be submitted again in this application except if there are changes. Holders of AGFP, please refer to the table below for annex(es) to be submitted:

| Total Core Level Experience Submitted in the previous AGFP Application | Annex(es) to be Submitted in this Application |
|--|--|
| More than 5 years | <ol style="list-style-type: none"> 1. Current Job 2. Supplemental professional experience if the current job is non-professional experience or the professional experience is less than 2 years. Total professional experience must not be less than 2 years. |
| Less than 5 years | <ol style="list-style-type: none"> 1. Current Job 2. Supplemental annex(es) to fulfil the requirement of which at least 2 years must be at professional level. Example, 2 years and 4 months experience were submitted in AGFP grandfathering &/or certification application, applicant is required to submit supplemental annexes for experience of not less than 2 years and 8 months of which at least 2 years must be at professional level (including the current job.) |

Holders of AGFP have met the minimum requirements for core level designation, however, this does not necessarily imply that all experience submitted in their previous applications was recognized as qualified. The above table is for reference only. The HKIB may request applicants to provide additional annex(es) or further information to substantiate their experience.

All other applicants shall submit annexes of all positions as stated in Section C.

Section D : Declaration Related to Disciplinary Actions, Investigations for Non-compliance and Financial Status

Put a “✓” in the appropriate box(es). If you have answered “Yes” to any of the questions, provide details by attaching all relevant documents relating to the matter(s).

| | |
|---|--|
| 1. Have you ever been reprimanded, censured, disciplined by any professional or regulatory authority? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 2. Have you ever had a record of non-compliance with any non-statutory codes, or been censured, disciplined, or disqualified by any professional or regulatory body in relation to your profession? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 3. Have you ever been investigated about offences involving fraud or dishonesty or adjudged by a court to be criminally or civilly liable for fraud, dishonesty, or misfeasance? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 4. Have you ever been refused or restricted from the right to carry on any profession for which a specific license, registration, or other authorisation is required by law? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 5. Have you ever been adjudged bankrupt, or served with a bankruptcy petition? | <input type="checkbox"/> Yes <input type="checkbox"/> No |

Section E: Payment

Payment Amount

Indicate the fee by putting a "✓" in the appropriate box(es).

1. HOLDERS OF AGFP

Grandfathering Administrative Fee

| 1 Track | 2 Tracks | 3 Tracks | 4 Tracks or above |
|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|
| <input type="checkbox"/> HKD1,000 | <input type="checkbox"/> HKD2,000 | <input type="checkbox"/> HKD3,000 | <input type="checkbox"/> HKD4,000 |

2. OTHER APPLICANTS

2A: Grandfathering Administrative Fee

| 1 Track | 2 Tracks | 3 Tracks | 4 Tracks or above |
|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|
| <input type="checkbox"/> HKD2,500 | <input type="checkbox"/> HKD4,500 | <input type="checkbox"/> HKD6,500 | <input type="checkbox"/> HKD8,800 |

2B: 1st Year Certification Fee (Membership valid until 31 December 2025)

| | |
|---|------------|
| <input type="checkbox"/> Not a HKIB Member | HKD2,180 * |
| <input type="checkbox"/> Current and valid HKIB Ordinary Member | HKD950 * |
| <input type="checkbox"/> Current and valid HKIB Professional Member | Waived |

Total Amount (2A+2B): HKD _____

Applicants being HKIB Members must ensure the validity of their membership is till 31 December 2025 before submitting this application.

* The 1st Year Certification Fee includes a complimentary CPD course (up to 3 hours) that supports your professional growth and career progression. For more details of the CPD course, please contact our Customer Experience Team.

Payment Method

- Paid by Employer
 - Company Cheque (Cheque No: _____)
 - Company Invoice (_____)
- A cheque/ e-Cheque made payable to “**The Hong Kong Institute of Bankers**” (Cheque No. _____). For e-Cheque, please state “ECF-GSF (Professional Level) Grandfathering and Certification” under “remarks” and email together with the completed application form to cert.gf@hkib.org.

- Credit Card
 - Visa
 - Mastercard

Card No: - - -

Expiry Date (MM/YY): /

Name of Cardholder (as on credit card): _____

Signature of Cardholder (as on credit card): _____

Section F: Privacy Policy Statement

It is our policy to meet fully the requirements of the Personal Data (Privacy) Ordinance (“Ordinance”). The HKIB recognises the sensitive and highly confidential nature of much of the personal data of which it handles, and maintains a high level of security in its work. The HKIB does its best to ensure compliance with the “Ordinance” by providing guidelines to and monitoring the compliance of the relevant parties.

For more details, please refer to this [Privacy Policy Statement](#) or contact us at the address and telephone number below:

The Hong Kong Institute of Bankers
3/F Guangdong Investment Tower
148 Connaught Road Central, Hong Kong

Tel.: (852) 2153 7800
Fax: (852) 2544 9946
Email: cs@hkib.org

- The HKIB would like to provide the latest information to you via weekly eNews. If you do not wish to receive it, please tick the box.***

Section G: Acknowledgement and Declaration

- I declare that all information I have provided in this form is true and correct.
- I understand that the fees paid are non-refundable and non-transferable regardless of the final application result.
- I authorise the HKIB to obtain the relevant authorities to release, any information about my qualifications and/or employment as required for my application.
- I acknowledge that the HKIB has the right to withdraw approval of the grandfathering and/or certification if I do not meet the requirements. I understand and agree that the HKIB may investigate the statements I have made with respect to this application, and that I may be subject to disciplinary actions for any misrepresentation (whether fraudulent or otherwise) in this application.
- I confirm that I have read and understood the [Privacy Policy Statement](http://www.hkib.org) set out on the HKIB website at <http://www.hkib.org>, and consent to the terms set out therein. I also understand that the HKIB will use the information provided and personal data collected for administration and communication purposes.
- I have read and agreed to comply with the "Guidelines of Grandfathering and Certification Application for ECF on Green and Sustainable Finance" (GSF-G-017).

Document Checklist

To facilitate the application process, please check the following items before submitting them to the HKIB. Failure to submit the documents may cause delays or termination of the application. Please "✓" the appropriate box(es).

- All necessary fields on this application form filled in including your signature
- Completed form(s) of **HR Verification Annex (Professional Level)/ (Core Level)** fulfilling the requirements as stipulated for grandfathering and/or certification application
- Certified true copy of your HKID/Passport ⁵ (Current HKIB members excluded)
- Payment or evidence of payment enclosed (e.g. e-Cheque or completed Credit Card Payment Instruction)

5. Submitted copies of documents to the HKIB must be certified as true copies of the originals by:

- The HKIB staff; or
- HR/authorised staff of current employer (Authorized Institution); or
- A recognised certified public accountant/lawyer/notary public; or
- Associateship/Fellowship of Chartered Governance Hong Kong.

The certifier must sign and date the copy document (printing his/her name clearly in capital letters underneath) and clearly indicate his/her position on it. The certifier must state that it is a true copy of the original (or words to similar effect).

Signature of Applicant

Date

(Name in BLOCK LETTERS: _____)

Grandfathering and Certification Application Form for ECF on Green and Sustainable Finance (Professional Level)

HR Department Verification Form on Employment Information for GSF Practitioner

Important Notes:

1. A completed Grandfathering and Certification Application Form for ECF on Green and Sustainable Finance (Professional Level) should contain p.1-8 plus this **HR Verification Annex (Professional Level)** form(s) (p.AP1-AP8)/**HR Verification Annex (Core Level)** form(s) (p.AC1-AC9)(if applicable). HR Verification Annex (Core Level) forms could be extracted from Certification Application Form (GSF-G-023).
2. Fill in **ONE set of HR Verification Annex form for EACH relevant position/functional title** in your application. You can make extra copies of this blank form and the core level form for use.
3. **Annex for Current Job must be submitted by all Applicants.**
4. All information filled in including the company chop must be true and original.
5. Use **BLOCK LETTERS** to complete this form.

| Employment Information | |
|--|---|
| Name of the Applicant: | |
| HKID/Passport Number: | |
| Job Number (as stated in Section C on p.3): | Current/Job no: |
| Position/Functional Title: | |
| Name of Employer: | |
| Business Division/Department: | |
| Employment Period of the Stated Position / Functional Title: <i>(DD/MM/YYYY)</i> | From: To: |
| Key Roles/Responsibilities in Relation to the Stated Position/Functional Title: <i>(Tick the appropriate box(es); Application will be processed based on the role(s) ticked)</i> | <input type="checkbox"/> Role 1 – Green and Sustainable Finance Product and Servicing <input type="checkbox"/> Role 2 – Sustainability/ Climate Risk Management <input type="checkbox"/> Role 3 – Sustainability Disclosures and Reporting <input type="checkbox"/> Role 4 – Sustainability Strategy, Compliance & Control |
| Total Time Spent for the above Specified Functional Role(s) in the Stated Position | _____Year(s) _____Month(s) |

Please declare the “Key Roles/Responsibilities” in relation to your position/functional title stated on **p.AP1** of this **HR Verification Annex (Professional Level)** form by ticking the appropriate box(es).

| Key Roles/Responsibilities | Please “√” where appropriate |
|---|------------------------------------|
| <input type="checkbox"/> Role 1 – Green and Sustainable Finance Product and Servicing | |
| <input type="checkbox"/> Client Engagement and Relationship Management (Stream a) | |
| 1. Execute ESG strategies and solutions to drive sustainable impact | |
| 2. Keep abreast of local, regional and global ESG trends, market developments and emerging opportunities. | |
| 3. Conduct research on corporates' ESG strategy, management practices, data and performance metrics. | |
| 4. Acquire and analyse ESG data and information from clients, disseminate and align organisation-wide sustainability strategies and policies with client objectives. | |
| 5. Provide expert guidance and strategic counsel to clients on ESG objectives, integration strategies, readiness assessments and sustainable practices. | |
| 6. Advocate for and promote GSF products, which include demonstrating their features and how they can align with clients’ needs and sustainability goals. | |
| 7. Uphold ethical standards and ensure compliance with regulatory requirements and internal GSF frameworks, policies and guidelines. | |
| <input type="checkbox"/> Green and Sustainable Finance Product Development (Stream a) | |
| 1. Supervise the development and implementation of internal GSF product frameworks and strategies. | |
| 2. Oversee research efforts on sustainability topics and conduct in-depth analysis on ESG information and performance of clients and markets. | |
| 3. Drive the origination and structuring of GSF products and solutions. | |
| 4. Collaborate closely with frontline teams to identify, explore, and execute green and sustainable financing opportunities and deals. | |
| 5. Develop and maintain the quality of information on GSF products in accordance with regulatory requirements and industry standards. | |
| 6. Drive GSF-related engagements with internal and external stakeholders, including governments, regulators, industry associations and non-governmental organisations (NGOs). | |
| 7. Serve as subject matter experts in the field of GSF and represent the organisation at industry conferences and forums. | |

| Key Roles/Responsibilities | Please "✓" where appropriate |
|--|------------------------------|
| 8. Provide expert guidance and deliver comprehensive trainings on GSF to relationship managers to equip them with the knowledge and tools to navigate the GSF landscape. | |
| 9. Uphold ethical standards and ensure compliance with regulatory requirements and internal GSF frameworks, policies and guidelines. | |
| <input type="checkbox"/> Green and Sustainable Finance Underwriting (Stream a) | |
| 1. Supervise the development and implementation of advanced underwriting frameworks and methodologies that integrate ESG considerations into credit risk assessments. | |
| 2. Provide expert guidance and strategic oversight to underwriting teams and drive consistent and effective credit decision-making processes. | |
| 3. Perform comprehensive analysis on issuers' ESG risks, monitor credit risk exposures and apply advanced quantitative techniques for ESG risk assessment. | |
| 4. Uphold ethical standards and ensure compliance with regulatory requirements and internal GSF frameworks, policies and guidelines. | |
| <input type="checkbox"/> Green and Sustainable Investment (Stream b) | |
| 1. Develop and refine the overall green and sustainable investment strategy. | |
| 2. Evaluate the emerging sustainable investment frameworks, methodologies and tools and drive the continuous enhancement of investment practices and performance. | |
| 3. Oversee research efforts on sustainability topics and conduct quantitative and qualitative analysis on ESG information and performance of portfolio companies and markets. | |
| 4. Supervise the preparation of clients' pitches, discussions and marketing materials for GSF business opportunities. | |
| 5. Drive the implementation of diverse sustainable investing strategies and approaches, which include ESG screening, ESG integration, thematic investing and impact investing. | |
| 6. Foster effective GSF-related communications including stewardship activities with internal and external stakeholders. | |
| 7. Provide expert guidance and deliver training on GSF principles and practices to portfolio managers and analysts to enhance their understanding and proficiency in the field. | |
| 8. Uphold ethical standards and ensure compliance with regulatory requirements and internal GSF frameworks, policies and guidelines. | |
| <input type="checkbox"/> Green and Sustainable Finance Data and Quantification (Stream a/ Stream b) | |
| 1. Develop and execute a robust data strategy for GSF, which includes data governance, data quality management and datadriven insights to support strategic decision-making processes. | |
| 2. Supervise cross-functional teams in the design and implementation of advanced data models, algorithms and predictive analytics techniques. | |

| Key Roles/Responsibilities | Please "✓" where appropriate |
|--|------------------------------|
| 3. Collaborate with senior leadership to shape the organisation's overall data and quantification framework for GSF to align it with industry best practices and emerging trends. | |
| 4. . Manage the development and implementation of technology and data solutions for seamless GSF data processing and advanced analytics. | |
| 5. Oversee the strategic collaborations and partnerships with ESG data vendors and suppliers and oversee the onboarding and rigorous testing of new data sources. | |
| <input type="checkbox"/> Role 2 – Sustainability / Climate Risk Management | |
| 1. Work closely with senior leadership and board members and provide strategic guidance on sustainability/climate risk management to align it with the organisation's overall risk appetite and business strategies. | |
| 2. Develop and implement sustainability/climate risk assessment methodologies. | |
| 3. Supervise the development, refinement, integration and implementation of a robust sustainability/climate risk management framework. | |
| 4. Conduct extensive research and actively monitor global trends and regulations related to sustainability/climate risks. | |
| 5. Drive the development and implementation of scenario-based modeling methodologies to comprehensively assess the impact of climate-related risks. | |
| 6. Monitor climate risk stress testing and scenario analysis outcomes and ensure compliance with international and local regulatory requirements and industry standards. | |
| 7. Provide oversight and guidance on engaging with business units and relevant control functions to implement the sustainability/climate risk management framework. | |
| 8. Develop and deliver training sessions on GSF risk management to equip stakeholders with the knowledge and tools to effectively identify, assess and manage sustainability risks. | |
| 9. Uphold ethical standards and ensure compliance with regulatory requirements, as well as internal GSF frameworks, policies and guidelines. | |

| Key Roles/Responsibilities | Please "✓" where appropriate |
|--|------------------------------------|
| <input type="checkbox"/> Role 3 – Sustainability Disclosures and Reporting | |
| <input type="checkbox"/> Sustainability Reporting | |
| 1. Develop and execute a sustainability reporting strategy to align it with the organisation's overall sustainability goals and objectives and integrate it into the organisation's broader reporting framework | |
| 2. Collaborate with key stakeholders, including senior leadership, ESG experts and external partners, to establish robust metrics and methodologies for measuring and reporting the organisation's sustainability performance. | |
| 3. Supervise the development of reporting frameworks, including the collection and curation of content, drafting of reports, and enhancement of reporting capabilities and procedures. | |
| 4. Continuously monitor and analyse GSF trends and regulatory developments, integrating them into the bank's sustainability and GSF reporting framework to ensure alignment with the relevant developments. | |
| 5. Provide leadership and expert advice on the implementation of current and emerging ESG disclosure frameworks. | |
| 6. Oversee the collection, analysis and submission of sustainability-related data. | |
| 7. Coordinate both internal and external assurance processes for sustainability reports. | |
| <input type="checkbox"/> Carbon Accounting | |
| 1. Develop and implement a carbon accounting framework to accurately measure and track the organisation's carbon emissions across all business activities. | |
| 2. Conduct carbon footprint assessments and analyses to identify emission sources, quantify their impact and identify areas for emission reduction and mitigation. | |
| 3. Collaborate with internal stakeholders to gather data on energy consumption, transportation, supply chain and other relevant factors. | |
| 4. Apply data analytics techniques to analyse carbon data, identify trends and patterns and provide insights for strategic decision-making and goal-setting processes. | |
| 5. Design and implement carbon reduction strategies and initiatives. | |
| 6. Provide expert guidance and recommendations on carbon accounting methodologies, standards and reporting frameworks. | |
| 7. Monitor the organisation's carbon emissions data and conduct regular audits. | |
| 8. Collaborate with external stakeholders, such as carbon exchanges, industry associations and sustainability organisations, to stay updated on emerging trends and regulations on carbon accounting and contribute to industrywide initiatives. | |

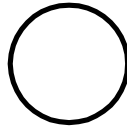
| Key Roles/Responsibilities | Please “✓” where appropriate |
|--|------------------------------------|
| 9. Educate and train employees on carbon accounting principles, methodologies and the importance of carbon reduction. | |
| 10. Engage with clients and stakeholders to provide guidance and assistance on carbon accounting. | |
| <input type="checkbox"/> Role 4 – Sustainability Strategy, Compliance and Control | |
| <input type="checkbox"/> General Sustainability | |
| 1. Develop and execute a sustainability strategy to drive the organisation's ESG performance and long-term sustainable development goals. | |
| 2. Collaborate with senior leadership, different departments and business units to integrate sustainability considerations into the organisation's overall business strategy. | |
| 3. Identify and prioritise key sustainability risks and opportunities, and conduct assessments and analyses to inform decision-making and drive sustainable business practices. | |
| 4. Establish and maintain relationships with internal and external stakeholders, which include clients, regulators, industry partners and sustainability organisations, to promote collaboration and drive sustainability initiatives. | |
| 5. Monitor and evaluate the organisation’s sustainability performance, which include setting targets, tracking progress and implementing corrective actions. | |
| 6. Conduct comprehensive stakeholder engagement activities, which include performing environmental and social impact assessments. | |
| 7. Foster a culture of sustainability throughout the organisation and provide employees with training, education and awareness programmes. | |
| <input type="checkbox"/> Sustainability Strategy | |
| 1. Work closely with senior leadership and the board of directors to set the strategic direction of the organisation’s sustainability efforts and align the sustainability strategy with the organisation's long-term goals and objectives. | |
| 2. Engage with industry associations, regulatory bodies and other external stakeholders to shape the development and evolution of sustainability standards, frameworks and policies. | |
| 3. Oversee research initiatives on sustainability and climate-related issues, which include in-depth analysis of material issues specific to sectoral markets, greenhouse gas emissions and other relevant environmental and social factors. | |
| 4. Provide expert advice and guidance on sustainability and climate-related issues. | |

| Key Roles/Responsibilities | Please “✓” where appropriate |
|--|------------------------------------|
| 5. Supervise the development, implementation, execution, and management of the organisation's holistic sustainability strategy, as well as define clear targets and action plans to drive sustainable practices and positive impact. | |
| 6. Develop and execute internal and external communication strategies to effectively communicate the organisation's sustainability initiatives, progress and achievements to various stakeholders. | |
| 7. Drive GSF training and development initiatives and design comprehensive training programmes and resources to enhance the knowledge and capabilities of employees. | |
| <input type="checkbox"/> Sustainability Compliance | |
| 1. Manage the development, implementation and enhancement of a robust compliance monitoring and testing framework specific to GSF and ESG/sustainability. | |
| 2. Provide strategic guidance and expert advice on the integration of GSF and ESG/sustainability compliance considerations into the organisation's overall risk management framework. | |
| 3. Continuously monitor, evaluate and assess regulatory developments pertaining to GSF and ESG/sustainability issues. | |
| 4. Keep abreast of the latest regulatory developments related to greenwashing and coordinate with internal stakeholders to implement measures to mitigate greenwashing risks. | |
| 5. Oversee and facilitate comprehensive training programmes for employees on GSF and ESG/sustainability compliance. | |
| 6. Uphold ethical standards and ensure compliance with regulatory requirements, as well as internal GSF and sustainability frameworks, policies and guidelines. | |
| <input type="checkbox"/> Internal Control | |
| 1. Establish and maintain robust internal controls specific to ESG and foster a culture of sustainability ownership and accountability throughout the organisation. | |
| 2. Supervise the development, execution, documentation and testing of internal controls and risk management procedures pertaining to GSF and ESG/sustainability, and validate the effectiveness of risk mitigation activities. | |
| 3. Develop appropriate audit programmes that focus on material risks related to sustainability risk identification and management. | |
| 4. Actively challenge and add value to an organisation's climate risk and sustainable finance work and ESG/sustainability disclosures. | |
| 5. Take responsibility for auditing the performance measurement, data disclosure and reporting of sustainabilityrelated risks. | |

| Key Roles/Responsibilities | Please "✓" where appropriate |
|--|------------------------------------|
| 6. Develop and execute GSF training and development initiatives, which include designing comprehensive training programmes and resources to enhance the knowledge and capabilities of employees. | |

Verification by HR Department

The Employment Information provided by the applicant in this form has been verified to be consistent with the information on the applicant that is retained by the HR Department of this Authorized Institution.



Signature & Company Chop

Date

Name of Signer:

Department : _____

Position : _____

Name of
Authorized
Institution : _____

Authorisation for Disclosure of Personal Information to a Third Party

I, _____, (*name of applicant*) hereby authorise
The Hong Kong Institute of Bankers (HKIB) to disclose my results and/or progress of the
“Grandfathering/Examination/Certification/Exemption application for ECF-GSF (Professional Level)”
to any Third Party, including but not limited to my current employer and future employer(s), upon
requested. The HKIB shall try its best endeavors to ensure that the Disclosure of the Personal
Information is proper and harmless to the applicant.

| | |
|-----------|-------------------------------|
| Signature | HKIB Membership No./HKID No.* |
| Date | Contact Phone No. |

**The HKIB Membership No./HKID No. is needed to verify your identity. We may also need to contact you concerning the authorisation.*

Important Notes:

1. Personal information includes but is not limited to grandfathering/examination/certification/exemption application of a module/designation and award(s) achieved.
2. This authorisation form must be signed and submitted to the HKIB.
3. Applicant may rescind or amend consent in writing to the HKIB at any time, except where action has been taken in reliance on this authorisation.